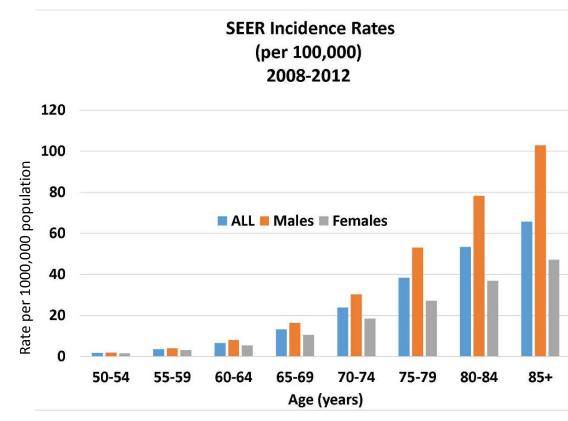
Emerging Treatment Options for Myelodysplastic Syndromes

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Please note that some of the studies reported in this presentation were presented as an abstract and/or presented at a conference. These data and conclusions should be considered to be preliminary until published in a peer-reviewed journal.

Age Related Incidence of MDS

- A group of heterogeneous clonal hematopoietic cell neoplasms
- Overall incidence 5 per 100,000
 Most common myeloid neoplasm
- Peripheral blood cytopenias
- Variable risk of progression to AML (1 in 3)



SEER data 2008-2012 and Greenberg, et al 1997

Making a diagnosis of Myelodysplastic syndromes (MDS)

At least one cytopenia:

- Hb <11 g/dL, or
- ANC <1500/μL, *or*
- Platelets <100 x 10⁹/L





MDS "decisive" criteria:

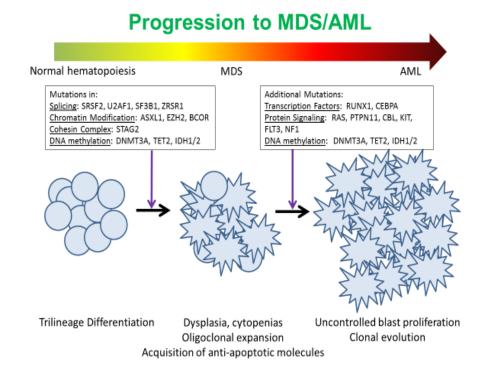
- >10% dysplastic cells in 1 or more lineages, or
- 5-19% blasts, or
- Abnormal karyotype typical for MDS

EXCLUDE other causes of cytopenias and morphological changes:

- Vitamin B12/folate deficiency
- HIV or other viral infection
- Copper deficiency
- Alcohol abuse
- Medications (esp. methotrexate, azathioprine, recent chemotherapy)
- Autoimmune conditions (ITP, Felty syndrome, SLE etc.)
- Congenital syndromes (Fanconi anemia etc.)
- Other hematological disorders (aplastic anemia, LGL disorders, MPN etc.)

CHIP: Clonal Hematopoiesis of Indeterminate Potential

- Absence of definitive morphologic criteria of a heme malignancy
- Not meeting diagnostic criteria for MGUS, MBL, or PNH
- Detection of a recurrent hematologic malignancy-associated somatic molecular mutation at a VAF of ≥ 2% (e.g. TET2, DNMT3A, etc.)
- Prevalence increase with age
- Approximately 10% of people >65-70 years
- progression to overt malignancy (0.5-1%/year, similar to MGUS)
- Higher risk of CAD (HR= 2) and ischemic strokes (HR=2.6)
- Higher risk of all-cause mortality (HR=1.4)



Steensma D et al, Blood, 2015; Jaiswal et al, NEJM, 2015, Genovese G et al, NEJM, 2015

IPSS: First Tool for Risk Stratification of MDS

| | | Score Value | | | |
|-------------------------|------|--------------|------|------------|------------|
| Prognostic variable | 0 | 0.5 | 1.0 | 1.5 | 2.0 |
| Bone marrow blasts | < 5% | 5% to 10% | | 11% to 20% | 21% to 30% |
| Karyotype* | Good | Intermediate | Poor | | |
| Cytopenias [†] | 0/1 | 2/3 | | | |

| Risk Group | Risk Score | |
|----------------|------------|------------|
| Low | 0 | Low risk |
| Intermediate 1 | 0.5-1 | LOWITSK |
| Intermediate 2 | 1.5-2 | High risk |
| High | >/= 2.5 | TIISH TISK |
| | | |

^{*}Good = normal, -Y, del(5q), del(20q); intermediate = other karyotypic abnormalities; poor = complex (≥ 3 abnormalities) or chromosome 7 abnormalities.

Greenberg P, et al. Blood. 1997;89:2079-2088.

 $^{^{\}dagger}$ Hb < 10 g/dL; ANC < 1800/ μ L; platelets < 100,000/ μ L.

IPSS-R: Used to Determine Prognosis/Risk

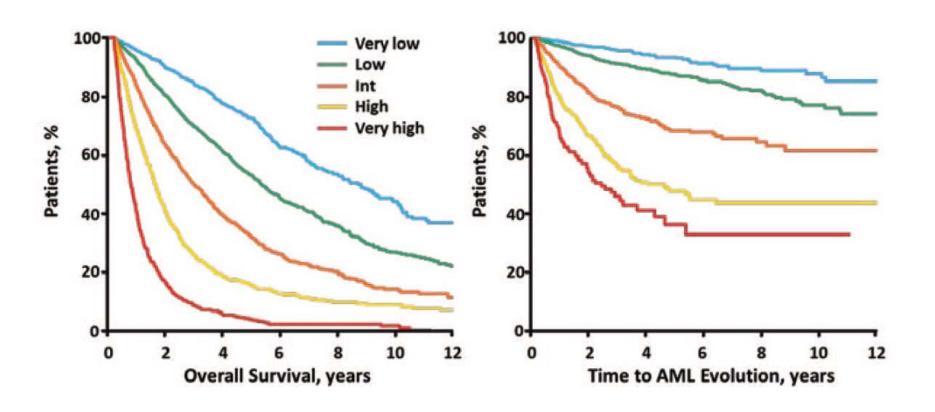
| P. Variable | 0 | 0.5 | 1 | 1.5 | 2 | 3 | 4 |
|--------------|-----------|---------|--------|-----|--------------|------|--------------|
| Cytogenetics | Very Good | | Good | | Intermediate | Poor | Very Poor |
| BM Blast % | ≤2 | | >2-<5% | | 5-10% | >10% | |
| Hemoglobin | ≥10 | | 8-<10 | <8 | | | |
| Platelets | ≥100 | 50-<100 | <50 | | | | |
| ANC | ≥0.8 | <0.8 | | | | | |

IPSS-R: Prognostic Risk Categories/Scores

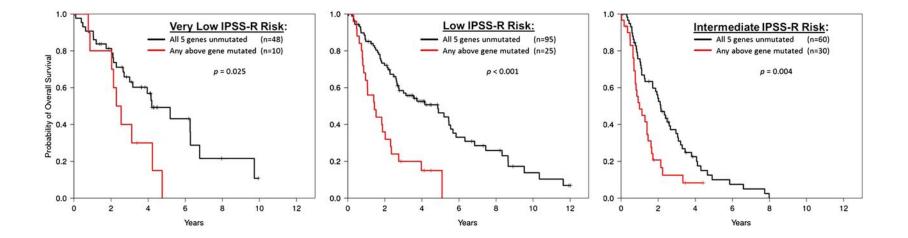
| Risk Group | Risk Score | |
|--------------|------------|-------------|
| Very Low | ≤1.5 | Low risk |
| Low | >1.5-3 | |
| Intermediate | >3-4.5 | |
| High | >4.5-6 | — High risk |
| Very High | >6 | |

Greenberg et al. Blood 2012;120:2454-65.

Prognosis: IPSS-R

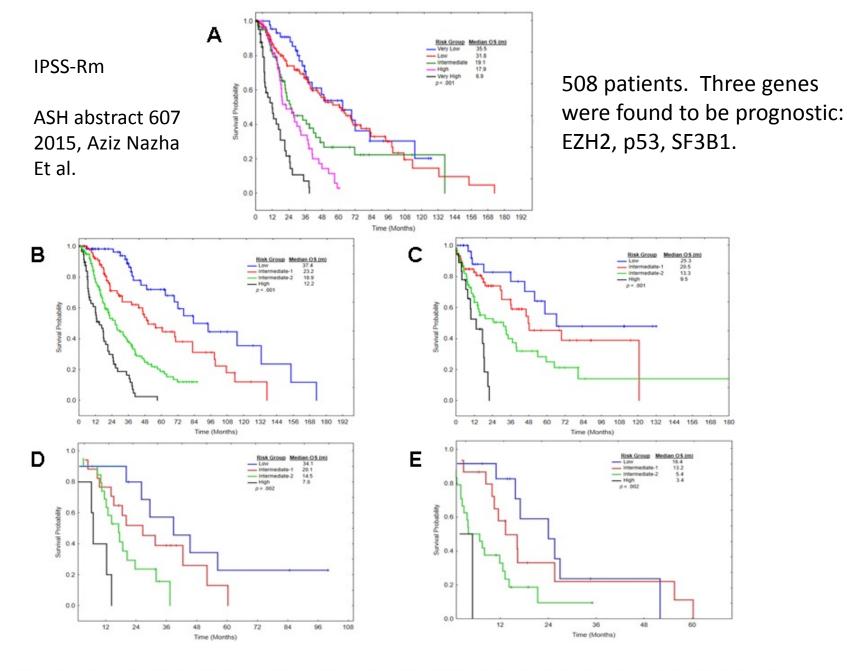


Somatic mutation in any of the 5 genes (TP53, EZH2, RUNX1, ASLX1, or ETV6) shown in Bejar et al, NEJM 364, 2011 to have prognostic significance independent of the International Prognostic Scoring System (IPSS) identifies patients from that same cohort with shorter overall survival than predicted by the IPSS-R for the 3 lowest IPSS-R risk groups.



Bejar R , and Steensma D P Blood 2014;124:2793-2803





A = IPSS-R, **B** = IPSS-Rm (training cohort), **C** = IPSS-Rm (validation cohort), **D,E** = IPSS-Rm (Paired samples at each time point)

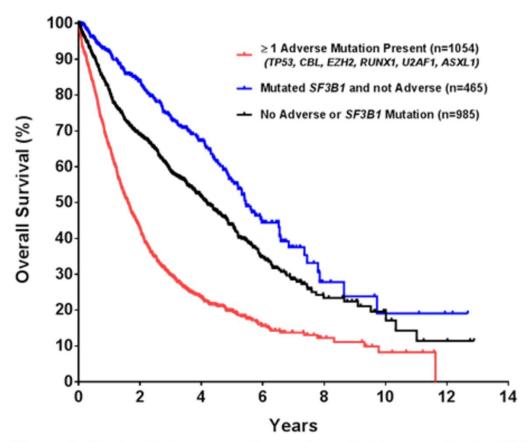


Figure 2: Kaplan-Meier curve of overall survival in years for the 2504 patients with sequence results for *SF3B1* and all six adverse genes (*TP53*, *CBL*, *EZH2*, *RUNX1*, *U2AF1*, and *ASXL1*).

Rafael Bejar et al. Blood 2015;126:907



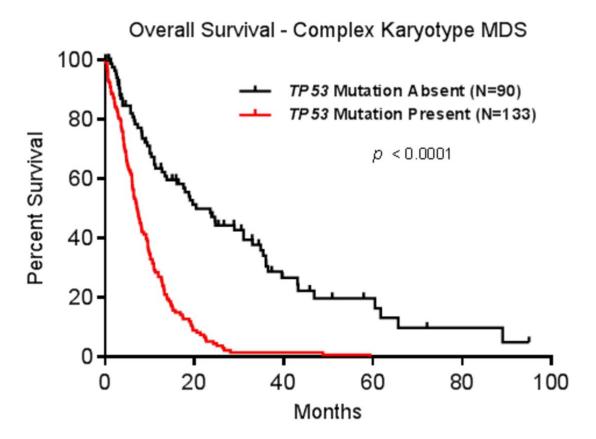


Figure: Overall survival of 223 patients with MDS and complex disease karyotypes stratified by their *TP53* mutation status.

Bejar R et al. Blood 2014;124:532



Treatment Goals in MDS

- Cure
- Improve overall survival
- Lower the risk of transformation to AML
- Complete remission, partial remission, stable disease
- Improve tri-lineage hematopoiesis and function
 - Decrease infections by resolving neutropenic state/dysfunction
 - Decrease PRBC/PLT transfusion burden
- Supportive care
 - Decrease symptoms that impair quality of life

Therapies for Lower Risk MDS

ESAs: Work well in patients with low transfusion Requirements (<2 units PRBCs/month) and EPO level (<500): 74% response rate.

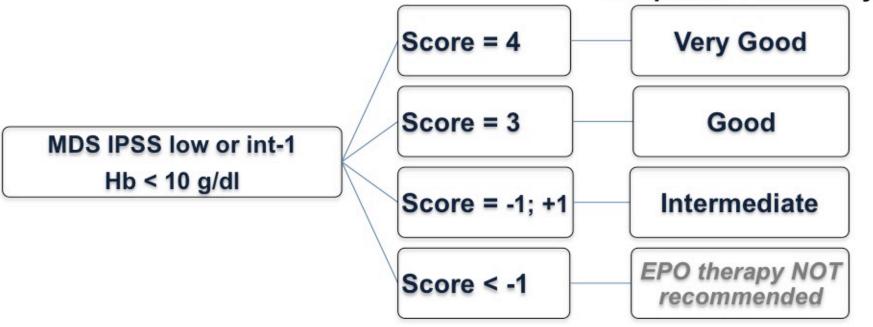
Work poorly in patients with high transfusion Requirements and EPO >500: 7% response rate (Hellstrom-Lindberg et al, BJH 120, 2003).

Also clearly inferior responses in higher risk disease.

"Revised HLS"

Riva M et al, ASH abstract 2981, 2017.





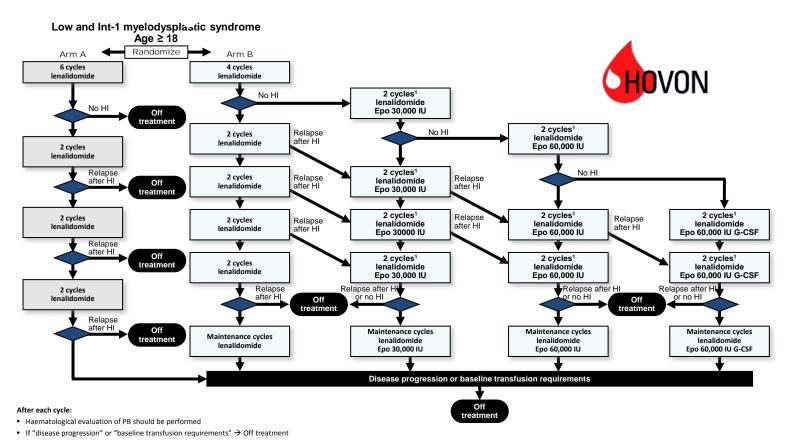
Treatment Response Criteria

(responses must last at least 8 weeks)

- · Hb increase by 1.5 g/dL
- Relevant reduction of units of RBC transfusions by an absolute number of at least 4 RBC transfusions/8 weeks compared with the pretreatment transfusion number in the previous 8 weeks. Only RBC transfusions given for a Hb of 9.0 g/dL pretreatment will count in the RBC transfusion response evaluation.

Treatment Response Score

| | <100 | +2 |
|--------------------|---------|----|
| S-EPO mU/mL | 100-500 | +1 |
| | >500 | -3 |
| Transf DDC units/m | <2 | +2 |
| Transf RBC units/m | ≥2 | -2 |



¹For Epo and/or G-CSF reduction in case of HI, see protocol 9.2.2 and 9.2.3.

HOVON89 Results: Primary and Secondary Endpoints

- Hematological Improvement-Erythroid*: 41%
 - 39% and 42% for the pts in arm A and B, respectively (p = 0.45)
- Hematological Improvement-Erythroid*:
 - non-del5q versus del5q: 34% vs 79%
- Time-to-HI-E: 3.1 months (median; range 1.6-12.3) for both arms
- **Duration of HI-E:** 10.6 months (range 1.4 76.1)



*According to IWG criteria

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Results: NGS and Response to Lenalidomide

- Presence of 2 or more mutations are inversely related to HI-E (p=0.004)
- Presence of 1 or more splicing factor mutations are inversely related to HI-E (p<0.0001)
- Of the 7 most frequently mutated genes (i.e.) TET2, ASXL1, DNMT3, ATRX, RUNX1, only SRSF2 (p=0.021) and SF3B1 (p=0.004) are significantly associated with lack of response to lenalidomide (HI-E)



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Luspatercept PACE-MDS Phase 2 Clinical Trials Overview

A Phase 2, multicenter, open-label, 3-month dose-escalation study in adults with lower-risk MDS followed by a 5-year extension study

Base Study (N=106) Extension Study (N=70)
3 months 5 years (ongoing)
NCT01749514 NCT02268383

| Patient Population | Efficacy Endpoints |
|---|---|
| Multiple cohorts enrolling low/intermediate-1 risk (IPSS) MDS patients including: Non-transfusion dependent and transfusion dependent patients ESA-naïve and ESA-experienced patients Patients with a range of baseline EPO levels RS+ and non-RS patients | IWG (2006) HI-E: Hb increase ≥ 1.5 g/dL for all values over 8 weeks for patients with < 4 units/8 wk and Hb < 10 g/dL ≥ 4 RBC unit decrease over 8 weeks for patients with ≥ 4 units/8 wk |
| Treatment | Other Efficacy Endpoints |
| Luspatercept 0.125 – 1.75 mg/kg (base study); 1.0 – 1.75 mg/kg (extension) SC q3 weeks All patients followed up for 2 months post last dose or early discontinuation | RBC-TI: RBC-transfusion independence ≥ 8 weeks (RBC evaluable patients, ≥2U/8 weeks) Time to/duration of HI-E response |

EPO: erythropoietin; ESA: erythropoiesis-stimulating agent; HI-E: hematologic improvement erythroid; RS: ring sideroblast

IWG HI-E and RBC-TI Response Rates by ESA, EPO, RS Status Patients Treated at Dose Levels ≥ 0.75 mg/kg

| Response Rates | IWG-HI-E, n/N (%) (N=99) | RBC-TI, n/N (%) (N=67) | | | |
|--------------------------|-----------------------------|---------------------------|--|--|--|
| All patients | 52/99 (53%) | 29/67 (43%) | | | |
| ESA-naïve | 28/53 (53%) | 17/31 (55%) | | | |
| Prior ESA | 24/46 (52%) | 12/36 (33%) | | | |
| Baseline EPO <200 U/L | | | | | |
| RS+ | 25/39 (64%) | 16/24 (67%) | | | |
| Non-RS | 7/13 (54%) | 3/7 (43%) | | | |
| Baseline EPO 200-500 U/L | | | | | |
| RS+ | 10/14 (71%) | 4/9 (44%) | | | |
| Non-RS | 4/8 (50%) | 3/5 (60%) | | | |
| RS Status | | | | | |
| RS+ | 40/62 (65%) | 22/42 (52%) | | | |
| Non-RS | 12/35 (34%) | 7/23 (30%) | | | |
| Unknown | 0/2 (0%) | 0/2 (0%) | | | |

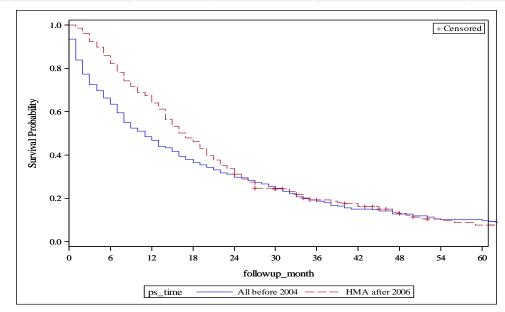
Platzbecker U et al. ASH 2017 [Abstract # 2982]

Data as of 08 Sept 2017

67% HI-E in SF3B1 mutated (N= 43)vs 32% in WT (N=31) 48% RBC-TI in SF3B1 mutated (N= 29)vs 36% in WT (N= 22)

HMAs might improve survival in some CMML patients

| Pre-HMA (N=395) HMA (N=225) | | Pre-HMA (N=395) HMA (N=225) HMA vs | | HMA vs non-HM | A (ref) |
|-----------------------------|--------------------------|------------------------------------|-----------------------------|------------------|---------|
| N died | Median survival (95% CI) | N died | Median survival (95% CI) | HR (95% CI) | P-value |
| 385 | 11 [8-13] | 200 | 17 [14-19] | 0.72 (0.58-0.91) | .005 |



Study Design: Phase 1/2 Ruxolitinib CMML Study



- All CMML WHO subtypes were included without regard to previous therapy.
- Key exclusion criteria included an ANC < 0.25x10³ c/dL and a platelet count < 35x10³c/dL.
- Phase 1 completed, n=20 pts (Padron, et al Clinical Cancer Res 2016)
- Deep Sequencing of recurrent gene mutations in CMML before and after therapy.
- Comprehensive Cytokine profiling

Padron et al for MDS CRC, ASH 2017, Abstract # 162



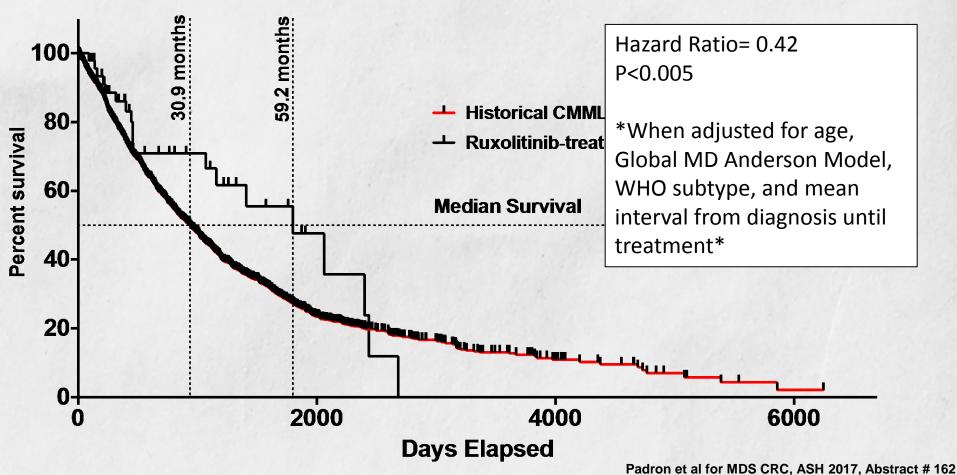
Summary of Responses

- Three bi- and tri- lineage hematologic responses by MDS IWG 2006 (i.e. 2 HI-E, 2 HI-P, 1 HI-N)
- One bone marrow complete response identified
- One partial marrow response identified
- 6 of 13 patients (46%) with splenomegaly had a ≥ 50% reduction by physical exam
- When using 'clinical benefit' as defined by the MDS/MPN-IWG response criteria 11 of 24 (46%) evaluable patients responded
- *Most common reason for discontinuation was disease progression (n=10)*

Padron et al for MDS CRC, ASH 2017, Abstract # 162



Ruxolitinib-treated CMML compared to historical controls





Other Agents in CMML

Tipifarnib: Farnesyltransferase inhibitor. FTs are necessary for proper Localization of RAS molecules to the inner cell membrane, hypothesized to be more effective in WT RAS patients.

15 patients treated, 11 with CMML-1 and 4 with CMML-2. Commons AEs were thrombocytopenia, diarrhea, nausea

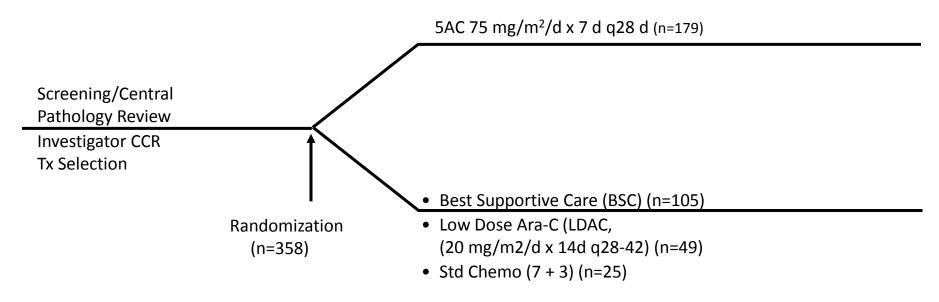
Only 1 of 7 patients evaluable for response had marrow response.

Patnaik M, ASH abstract 2963, 2017.

Eltrombopag: Tested in 25 CMML patients with lower risk features and platelets <50,000. Itzykson R, ASH Abstract 4266, 2017.

63% had HI-Platelets with median duration of response of 8 months.

Azacitidine Survival Study (AZA-001)

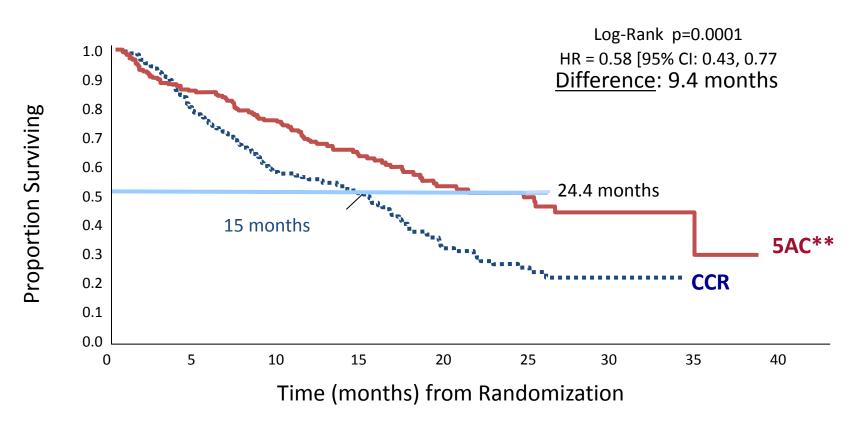


BSC was included with each arm.

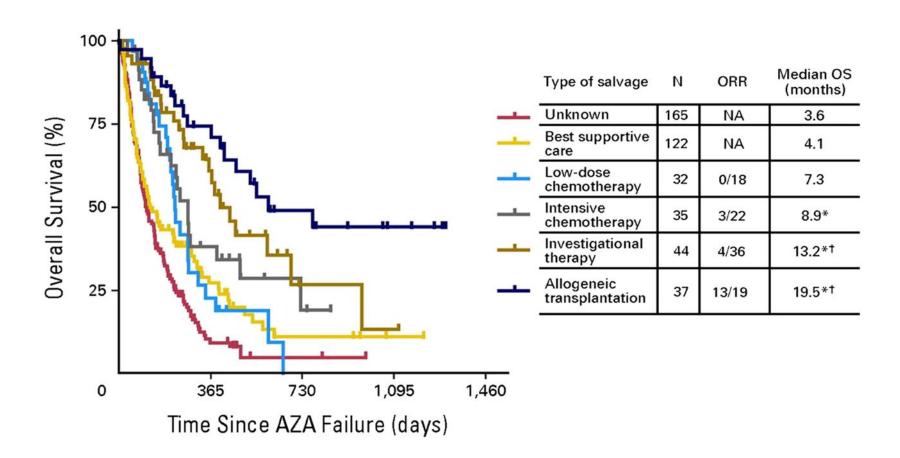
Tx continued until unacceptable toxicity, AML transformation, or disease progression

Fenaux et al. Lancet Oncology 2009;10:223.

Overall Survival: Azacitidine vs CCR



Patients with MDS for whom hypomethylating agents have failed have poor outcomes overall.



Bejar R , and Steensma D P Blood 2014;124:2793-2803



There remains no standard of care after hypomethylating agent failure:

Omecetaxine phase II (Short et al, ASH abstract 2967, 2017). 35 patients, median BM blasts 10%

OM given at 1.25 mg/m2 SQ q 12 hours x 3 days on 28 day cycle

12/35 patients responded: 3 CRp and 9 Cri Fatigue and nausea were most common Aes

Median OS was 7.6 months

NOVEL APPROACHES IN MDS

CC-486: Oral Vidaza, ongoing trials.

ASTX727 (oral decitabine) paired with E7727 an oral cytidine deaminase inhibitor. The pairing Assures that oral decitabine will not be degraded, favorable PK have been reported (Garcia-Manero, ASH Abstract 4274, 2017).

SGI-110 (guadecitabine): Phase III is ongoing.

Rigosertib (Multikinase inhibitor in HMA failure). We are participating in a large phase III trial. Randomized versus investigator choice.

LSD1 inhibitors: We are participating in a phase I in AML, run by lung cancer group.

IDH1/IDH2 inhibition: These mutations present in 15% of AML but only 6% of MDS. They lead to aberrant hypermethylation. In the phase I/II study in advanced myeloid malignancies 6/16 MDS patients had a response (1 CR, 1 PR, and 4 HI). Stein E, ASH abstract 343, 2016.

Venetoclax (BCL2 inhibition): Ongoing clinical trials in up front and HMA failure settings.

Clinical Trials for MDS Open At Penn

Up front: Azacitadine plus pracinostat. IV Vidaza (7 day dosing) plus oral pracinostat.

HMA failure: Rigosertib 2:1 randomization versus best available therapy.

BMT-CTN 1102: Hypomethylating agent/best supportive care versus reduced intensity conditioning allo SCT for MDS ages 50-75 IPSS 1.5 or greater.

- *Observational only
- *Biological randomization based on presence or absence of matched sibling or 10/10 unrelated donor.

